

10/27/00
10772 U.S. PTO

10813 U.S. PTO
09/697084
10/27/00

FORM PTO-1082
TRANSMITTAL FOR NEW U.S. PATENT APPLICATION

Assistant Commissioner
for Patents
Washington, D.C. 20231

BOX APPLICATIONS

Re: New U.S. Patent Application
For: METHOD AND APPARATUS FOR
TRANSMITTING ENCRYPTION-RESULTANT
INFORMATION AND DECRYPTING INFORMATION
Inventor(s): Seiji HIGURASHI
Attorney Docket: 0102/0141

Sir:

Attached hereto is the application identified above, including 27 pages of textual specification including 8 claims, and 5 sheets of drawings.

The Government filing fee is calculated as follows:

| (Col 1) | | (Col 2) | | (Col 3) | SMALL ENTITY | | OR | NON-SMALL ENTITY | |
|---|---|---------|----|-----------|--------------|-------|----------|------------------|-------|
| NO. FILED | | | | NO. EXTRA | RATE | FEE | | RATE | FEE |
| TOTAL | 8 | minus | 20 | | x9= | 0 | | x18= | \$ |
| INDEP | 7 | minus | 3 | 4 | x40= | 0 | | x80= | \$320 |
| _ First Presentation, Multiple Dependent Claims | | | | | +135= | 0 | | +270= | \$ |
| Base Filing Fee | | | | | | \$355 | | | \$710 |
| TOTAL FILING FEE* (accounting for possible small entity status) | | | | | | \$ | OR TOTAL | \$1030 | |

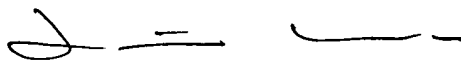
- [] Reduced by one-half, as applicant(s) is/are a "small entity". A Declaration Claiming Small Entity Status:
 [] is filed herewith;
 [] will be filed at a later date;
 [] was filed in the parent application.

[XX] Foreign priority is claimed under 35 U.S.C. § 119 from Japanese Patent Application No.11-335502 dated November 26, 1999.

- [] Priority document(s) will be submitted at a later date.
 [XX] Priority document(s) is/are submitted herewith.

- ☐ There is no claim to foreign priority under 35 U.S.C. § 119.
- ☒ Executed Declaration(s) is/are submitted herewith.
- ☐ Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).
- ☐ Formal drawing(s) is/are attached.
- ☒ Formal drawing(s) will be submitted at a later date.
- ☐ An Information Disclosure Statement, PTO-1449 and reference(s) cited therein is/are submitted.
- ☒ Assignment document(s) is/are submitted herewith, along with Form PTO-1595; the recordation fee of \$40.00 per document is enclosed herewith.
- ☒ A check in the amount of \$1,070.00 is enclosed. The Commissioner is hereby authorized to charge any deficiency under 37 CFR §§ 1.16 or 1.17, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this form is attached.
- ☐ No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- ☒ The Commissioner is hereby authorized to charge any fee deficiency, except the filing fee, *during the entire pendency of the present application*, or credit any overpayment, to Deposit Account No. 50-0501. A duplicate copy of this Form is enclosed.


Respectfully submitted,



Louis Woo, Reg. No. 31,730
Law Offices of Louis Woo
1901 N. Fort Myer Drive, Suite 501
Arlington, Virginia 22209
Phone: (703) 522-8872

Date: Oct 27 2000

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

| | | | | | |
|--|------------------|--|--|------------------------------|------------------|
| 1. Name of conveying party(ies) Seiji HIGURASHI Addit'l name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | 2. Name and address of receiving party(ies): VICTOR COMPANY OF JAPAN, LTD. 3-12, Moriya-cho Kanagawa-ku Yokohama 221-0022 JAPAN Addit'l. name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| 3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of name <input type="checkbox"/> Other Execution Date: October 11, 2000 | | | | | |
| 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: Oct 27, 2000 <table border="1"><tr><td>A. Patent Application No.(s)</td><td>B. Patent No.(s)</td></tr></table> Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | A. Patent Application No.(s) | B. Patent No.(s) |
| A. Patent Application No.(s) | B. Patent No.(s) | | | | |
| 5. Name and address of party to whom correspondence concerning document should be mailed: Louis Woo, Esq. Law Offices of Louis Woo 1901 N. Fort Myer Drive Suite 501 Arlington State: VA Zip: 22209 | | 6. Total number of applications and patents involved [1] | | | |
| | | 7. Total fee (37 CFR 3.41).....\$40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account <input checked="" type="checkbox"/> Charge deficiencies/credit overpayments to Deposit Account 50-0501 | | | |
| | | 8. Deposit Account No: 50-0501 (Attach duplicate copy of this page if paying by deposit account) | | | |
| DO NOT USE THIS SPACE | | | | | |
| 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  Date: Oct 27 2000 Louis Woo Reg. No. 31,730 Total number of pages comprising cover sheet: [3] | | | | | |
| Do not detach this portion | | | | | |
| Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231 Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503. | | | | | |

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09/697084

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#2
Jc813 U.S. PTO
09/697084
10/27/00

In re Application of:

Seiji HIGURASHI

Serial No.

Art Unit:

Filed: October 27, 2000

Examiner:

For: METHOD AND APPARATUS
FOR TRANSMITTING
ENCRYPTION-RESULTANT
INFORMATION AND
DECRYPTING
INFORMATION

Atty Docket: 0102/0141


SUBMISSION OF PRIORITY DOCUMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Attached hereto please find a certified copy of applicant's Japanese application No. 11-335502 filed in Japan on November 26, 1999. Applicant requests the benefit of said November 26, 1999 filing date for priority purposes pursuant to the provisions of 35 USC 119.

Respectfully submitted,



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Phone: (703) 522-8872

Date:

Oct 27 2000